

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**128496-1**

In Re Application Of: **Krishnamoorthy Sivakumar, et al.**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **LIMITED PLAY OPTICAL STORAGE MEDIUM, METHOD FOR MAKING THE SAME**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

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**LIMITED PLAY OPTICAL STORAGE MEDIUM, METHOD FOR MAKING THE SAME****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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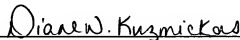
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I certify that this document and fee is being deposited on July 15, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Diane W. Kuzmickas/VIA EXPRESS MAIL

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Dated: July 15, 2003

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. 128496-1		SERIAL NO.	
				FILING		GROUP	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	3,635,895	01/18/72	Kramer	260	47 XA	
	2	3,697,395	10/10/72	Kehr, et al.	204	159.14	
	3	3,697,402	10/10/72	Kehr, et al.	204	159.14	
	4	3,768,976	10/30/73	Hu, et al.	23	254 R	05/20/71
	5	3,880,869	04/29/75	Scheuermann, et al.	260	296 P	11/01/73
	6	4,001,184	01/04/77	Scott	260	47 XA	03/31/75
	7	4,179,548	12/18/79	Schroeter, et al.	525	329	09/14/77
	8	4,217,438	08/12/80	Brunelle, et al.	528	202	12/15/78
	9	4,220,707	09/02/80	Ohmura, et al.	430	325	04/24/78
	10	4,332,880	06/01/82	Izu, et al.	430	272	08/04/80
	11	4,404,257	09/13/83	Olson	428	412	04/13/81
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	12	0 455 585 A1	11/06/91	Europe			<input type="checkbox"/> <input checked="" type="checkbox"/>
	13	WO 98/11539	03/19/98	International			<input type="checkbox"/> <input checked="" type="checkbox"/>
	14	Sho 60-213938	04/10/84	Japan			<input checked="" type="checkbox"/> <input type="checkbox"/>
	15	JP60093983	05/25/85	Japan			<input type="checkbox"/> <input checked="" type="checkbox"/>
	16	JP55030605	03/04/80	Japan			<input type="checkbox"/> <input checked="" type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	17	International Search Report, International Application Number: PCT/US02/05050, International Filing Date: February 6, 2002, Date of Mailing: September 6, 2002, 8 pgs.					
	18	Japanese Abstract, Patent Number: JP60093983, 05/25/85, 5 pgs.					
EXAMINER				DATE CONSIDERED			
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 128496-1	SERIAL NO.
	FILING	GROUP

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	19	4,491,508	01/01/85	Olson, et al.	204	159.13	01/21/83
	20	4,523,208	06/11/85	Barzynski	346	214	11/22/83
	21	5,368,988	11/29/94	Shinkai, et al.	430	270	09/07/93
	21	5,558,808	09/24/96	Smith, et al.	508	556	06/07/95
	23	5,620,839	04/15/97	Kawamoto, et al.	430	523	12/16/94
	24	5,753,413	05/19/98	Nishida, et al.	430	270.13	03/14/96
	25	5,815,484	09/29/98	Smith, et al.	369	275.1	12/24/96
	26	5,882,358	03/16/99	Smith, et al.	8	527	06/12/96
	27	6,011,772	01/04/00	Rollhaus, et al.	369	286	07/30/97
	28	6,136,347	10/24/00	Pollinger, et al.	424	495	05/24/96
	29	6,168,844 B1	01/02/01	Takagishi, et al.	428	64.1	08/03/98

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	30	AU 199657908 B2	12/30/96	Australia				✓
	31	0 658 804 A2	06/21/95	Europe				✓
	32	0 658 804 A3	06/21/95	Europe				✓
	33	WO 96/40850	12/19/96	International				✓
	34	JP5273691	10/22/93	Japan				✓

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	35	Japanese Abstract, Patent Number: JP55030605, 03/04/80, 4 pgs.
	36	Japanese Abstract, Patent Number: 06-060422, 03/04/94, 7 pgs.

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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	FILING	GROUP

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
	37	6,228,440 B1	05/08/01	Dailey, et al.	428	07/28/98
	38	6,338,933 B1	01/15/02	Lawandy, et al.	430	06/24/99
	39	6,475,588 B1	11/05/02	Schottland, et al.	428	08/07/01
	40	6,475,589 B1	11/05/02	Pai-Paranjape, et al.	428	12/17/01
	41	US2003/0002431 A1	01/02/03	Breitung, et al.	369	03/14/01
	42	4,891,800	01/02/90	Sugaya	369	12/15/87

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS			(Including Author, Title, Date, Pertinent Pages, Etc.)
	43	FlexPlay Coating Recipe, Aprilis, Inc. and FlexPlay Technologies, Inc., Richard Minns, 03/29/00, 3 pgs.	
	44	wysiwyg://119/http://www.matweb...cMaterialPrint.asp?bassnum=C, MatWeb.com, The Online Materials Database Overview - Polycarbonate, Optical Grade, 3 pgs.	

EXAMINER	DATE CONSIDERED
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